

## § 6 Rings and Fields

### Introduction to Rings and Fields

#### Definition 6.1

A ring is a set  $R$  equipped with binary operations  $+$  and  $\cdot$  (usually called addition and multiplication) that satisfy

R.1)  $(R, +)$  is an abelian group.

R.2) Multiplication  $\cdot$  is associative.

R.3) (Distributive Law) For all  $a, b, c \in R$ ,  $a \cdot (b+c) = a \cdot b + a \cdot c$  and  $(a+b) \cdot c = a \cdot c + b \cdot c$ .

Remark: The additive identity is usually denoted by  $0$ .

#### Example 6.1

$\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  with usual additions and multiplications are rings

$R = \{e\}$  with  $e+e=e$  and  $e \cdot e=e$  is a ring, called trivial ring.

$M_{n \times n}(\mathbb{R})$  is a ring.

$n\mathbb{Z} = \{na \mid a \in \mathbb{Z}\}$  is a ring

$\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z}$  is a ring.

$\mathbb{R}[x]$  = set of all polynomials with real coefficients is a ring.

#### Example 6.2

Let  $R_1, R_2, \dots, R_n$  be rings. Then  $R = R_1 \times R_2 \times \dots \times R_n$  is a ring with

$$(a_1, a_2, \dots, a_n) + (b_1, b_2, \dots, b_n) = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n) \quad \text{and}$$

$$(a_1, a_2, \dots, a_n) \cdot (b_1, b_2, \dots, b_n) = (a_1 \cdot b_1, a_2 \cdot b_2, \dots, a_n \cdot b_n) \quad \text{where } a_i, b_i \in R_i.$$

#### Notations:

If  $R$  is a ring and  $a \in R$ ,

the additive inverse of  $a$  is denoted by  $-a$ .

$\underbrace{a+a+\dots+a}_n$  is denoted by  $na$ .

Caution:  $n$  is a positive integer, which may not be an element of  $R$ .

If  $n$  is a negative integer,  $na$  means  $(-a)+(-a)+\dots+(-a)$ .

If  $n$  is zero,  $0a = 0$

$\uparrow$  integer       $\uparrow$  additive identity in  $R$

### Proposition 6.1

If  $R$  is a ring with additive identity  $0$ , then for any  $a, b \in R$ , we have

$$1) 0 \cdot a = a \cdot 0 = 0$$

$$2) a \cdot (-b) = (-a) \cdot b = -(a \cdot b)$$

$$3) (-a) \cdot (-b) = a \cdot b$$

### Definition 6.2

Let  $R$  and  $R'$  be rings.

A function  $\phi: R \rightarrow R'$  is said to be a ring homomorphism from  $R$  to  $R'$  if for all  $a, b \in R$

$$1) \phi(a+b) = \phi(a) + \phi(b)$$

$$2) \phi(a \cdot b) = \phi(a) \cdot \phi(b)$$

In particular, if  $\phi$  is bijective,  $\phi$  is said to be a ring isomorphism.

### Proposition 6.2

If  $\gcd(r, s) = 1$ ,  $\phi: \mathbb{Z}_{rs} \rightarrow \mathbb{Z}_r \times \mathbb{Z}_s$  defined by  $\phi(n) = n(1, 1)$  is a ring isomorphism.

### Definition 6.3

A ring in which the multiplication is commutative is a commutative ring.

A ring with a multiplicative identity is a ring with unity.

### Definition 6.4

Let  $R$  be a ring with unity  $1 \neq 0$ . An element  $u$  in  $R$  is a unit if it has a multiplicative inverse.

If every nonzero element of  $R$  is a unit, then  $R$  is a division ring.

A field is a commutative division ring.

Idea: Multiplication of a field is commutative and we can "perform division" on a field by defining  $a/b$  by  $ab^{-1}$  if  $b \neq 0$ .

Caution: For example, in  $M_{n \times n}(\mathbb{R})$ , the additive and multiplicative identity are the zero matrix and identity matrix (but not real numbers  $0$  and  $1$ ).

Sometimes, it may be more convenient to write down every condition as the following:

A field  $F$  is a set equipped with binary operations  $+$  and  $\cdot$  with such that

(A1) (Commutative law)  $a+b=b+a$  for all  $a, b \in F$ .

(A2) (Associative law)  $(a+b)+c=a+(b+c)$  for all  $a, b, c \in F$

(A3) (Existence of 0) there exists  $0 \in F$  such that  $a+0=0+a$  for all  $a \in F$

(A4) (Existence of additive inverse) for all  $a \in F$ , there exists  $b \in F$  such that  $a+b=b+a=0$ .

(M1) (Commutative law)  $a \cdot b=b \cdot a$  for all  $a, b \in F$ .

(M2) (Associative law)  $(a \cdot b) \cdot c=a \cdot (b \cdot c)$  for all  $a, b, c \in F$

(M3) (Existence of 1) there exists  $1 \in F \setminus \{0\}$  such that  $a \cdot 1=1 \cdot a$  for all  $a \in F$ .

(M4) (Existence of multiplicative inverse) for all  $a \in F \setminus \{0\}$ , there exists  $b \in F$  such that

$$a \cdot b=b \cdot a=1$$

(D) (Distributive law)  $a \cdot (b+c)=a \cdot b+a \cdot c$  and  $(b+c) \cdot a=b \cdot a+c \cdot a$  for all  $a, b, c \in F$ .

### Definition 6.5

If  $a$  and  $b$  are nonzero element of a ring  $R$  such that  $ab=0$ , then  $a$  and  $b$  are called divisors of 0.

An integral domain  $D$  is a commutative ring with unity  $1 \neq 0$  and containing no divisors of 0.

### Proposition 6.3

Every field is an integral domain.

proof:

By definition, a field is a commutative division ring and hence a commutative ring with unity  $1 \neq 0$ . Therefore, it suffices to show that a field has no divisors of 0.

Let  $F$  be a field and let  $a, b \in F$  such that  $a \cdot b=0$ .

If  $a=0$ , it is done!

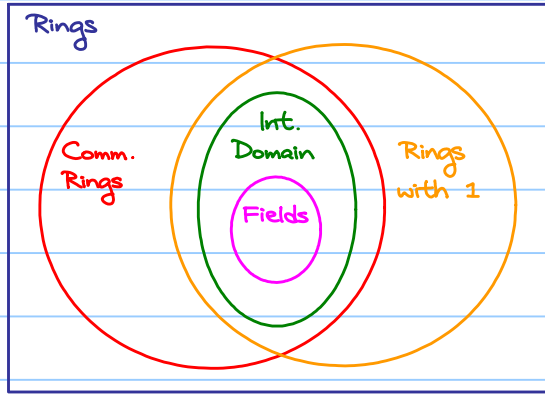
If  $a \neq 0$ ,  $a^{-1}$  exists and  $a^{-1} \cdot (a \cdot b)=a^{-1} \cdot 0$

$$(a^{-1} \cdot a) \cdot b=0 \quad (\text{R2 and prop. 6.1})$$

$$1 \cdot b=0$$

$$b=0$$

$\therefore$  There is no divisor.



### Exercise 6.1

Verify the following:

	$\mathbb{Z}$	$n\mathbb{Z}$ ( $n > 1$ )	$\mathbb{Z}_n$ ( $n$ : prime) <small>NOT</small>	$\mathbb{Z}_p$ ( $p$ : prime)	$M_{n \times n}(\mathbb{R})$	$GL_n(\mathbb{R})$	$\mathbb{Q}$
Commutative Ring	✓	✓	✓	✓			✓
Ring with Unity	✓		✓	✓	✓	✓	✓
Division Ring				✓		✓	✓
Integral Domain	✓			✓		✓	✓
Field				✓			✓

To check if  $\mathbb{Z}_p$  is a field (where  $p$  is a prime), the only nontrivial part is proving the existence of multiplicative inverse

Let  $[n] \in \mathbb{Z}_p$ , for  $1 \leq n \leq p-1$ .

Since  $\gcd(n, p) = 1$ , there exists  $r, s \in \mathbb{Z}$  such that  $nr + ps = 1$ .

Then  $nr \equiv 1 \pmod{p}$ , i.e.  $[n]^{-1} = [r]$ .

### Definition 6.6

Let  $R$  be a ring. If there exists a positive integer  $n$  such that  $na = 0$  for all  $a \in R$ , then the least such positive integer is said to be the characteristic of the ring  $R$ . If no such positive integer exists, then  $R$  is said to be of characteristic 0.

### Example 6.3

$\mathbb{Z}_n$  is of characteristic  $n$ .

$\mathbb{Z}, \mathbb{Q}, \mathbb{R}$  and  $\mathbb{C}$  are of characteristic 0.

### Proposition 6.4

Let  $R$  be a ring with unity.

- 1) If  $n \cdot 1 \neq 0$  for all  $n \in \mathbb{Z}^+$ , then  $R$  has characteristic 0.
- 2) If  $n$  is the least positive integer such that  $n \cdot 1 = 0$ , then  $R$  has characteristic  $n$ .

Remark: To find the characteristic of  $R$ , it suffices to look at 1.

proof:

1) trivial.

2) Let  $a \in R$

$$na = a + a + \dots + a = a(1 + 1 + \dots + 1) = a \cdot (n \cdot 1) = a \cdot 0 = 0$$

$\therefore$  characteristic of  $R \leq n$

However, if characteristic of  $R < n$ , it contradicts to the fact that  $n$  is the least positive integer such that  $n \cdot 1 = 0$ .

$\therefore$  characteristic of  $R = n$

### Ideals and Factor Rings

#### Definition 6.7

Let  $N$  be a subset of a ring  $R$ .  $N$  is said to be an ideal of  $R$  if

- 1)  $N$  is a subgroup of  $(R, +)$ .
- 2)  $aN = \{a \cdot x : x \in N\} \subseteq N$  and  $bN = \{x \cdot b : x \in N\} \subseteq N$

Remark: If  $R$  is a commutative ring, we have  $aN = Na$ .

#### Example 6.4

Let  $n \in \mathbb{Z}$ . Then,  $n\mathbb{Z}$  is an ideal of  $\mathbb{Z}$ .

#### Exercise 6.1

Prove that every ideal of  $\mathbb{Z}$  is of the form  $n\mathbb{Z}$

#### Example 6.5

Let  $p(x) \in \mathbb{R}[x]$  and let  $\langle p(x) \rangle = \{p(x)q(x) : q(x) \in \mathbb{R}[x]\}$

Then  $\langle p(x) \rangle$  is an ideal of  $\mathbb{R}[x]$ .

### Proposition 6.5

Let  $R$  be a ring with unity and let  $N$  be an ideal of  $R$ .

$N=R$  if and only if  $1 \in N$ .

proof:

" $\Rightarrow$ " Trivial.

" $\Leftarrow$ " Clearly  $N \subseteq R$ . To show  $N=R$ , it suffices to show  $R \subseteq N$ .

Let  $a \in R$ . Note that  $aN \subseteq N$  and  $1 \in N$ , so  $a = a \cdot 1 \in N$  and  $R \subseteq N$ .

Recall: Let  $R$  be a ring and let  $N$  be an ideal of  $R$ .

We can define a relation  $\sim$  on  $R$  such that  $a \sim b$  if  $a-b \in N$  and

in fact  $\sim$  is an equivalence relation.

Let  $a \in R$ , the equivalence class of  $a$  is  $a+N = \{a+x \cdot x \in N\}$

(left cosets of  $N$  in the additive group  $(R, +)$ ,

in fact  $a+N = N+a$ , since  $(R, +)$  is an abelian group.)

Then, the set of all equivalence classes is denoted by  $R/N$  (instead of  $R/\sim$ ).

### Proposition 6.6

$R/N$  is ring with addition and multiplication defined by

$$(a+N) + (b+N) := (a+b)+N \quad \text{and} \quad (a+N) \cdot (b+N) := (a \cdot b)+N.$$

$R/N$  is called factor ring or quotient ring of  $R$  by  $N$ .

### Example 6.6

$$\mathbb{R}[x]/\langle x^2+1 \rangle = \{g(x) + \langle x^2+1 \rangle : g(x) \in \mathbb{R}[x]\} \quad (\text{Recall: } g(x) + \langle x^2+1 \rangle = \{g(x) + (x^2+1)q(x) : q(x) \in \mathbb{R}[x]\})$$

$$= \{r(x) + \langle x^2+1 \rangle : r(x) = a_0 + a_1x, a_0, a_1 \in \mathbb{R}\}$$

↑  
why?

By division algorithm, for any  $g(x) \in \mathbb{R}[x]$ , there exist unique  $q(x), r(x) = a_0 + a_1x$  such that

$$g(x) = (x^2+1)q(x) + r(x). \quad \text{Therefore, } g(x) \equiv r(x) \pmod{x^2+1}$$

$$g(x) + \langle x^2+1 \rangle = r(x) + \langle x^2+1 \rangle \quad (\text{Just an analogue to } \mathbb{Z}/n\mathbb{Z})$$

Idea: Given a commutative ring  $R$  and an ideal  $N$ .

Will we get a "better" ring by taking quotient, i.e.  $R/N$ ?

### Example 6.7

$\mathbb{Z}$  is an integral domain and  $n\mathbb{Z}$  is an ideal.

Consider the factor ring  $\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z}$ .

If  $n=0$ ,  $\mathbb{Z}_n$  is isomorphic to  $\mathbb{Z}$  (still an integral domain).

If  $n=p$  which is a prime,  $\mathbb{Z}_p$  is a field (better!).

If  $n=6$ ,  $\mathbb{Z}_6$  is not an integral domain as  $[2] \cdot [3] = [6] = [0]$  (worse!).

### Example 6.8

The ring  $\mathbb{Z} \times \mathbb{Z}$  is not an integral domain as  $(1,0) \cdot (0,1) = (0,0)$ .

Check:  $N = \{(0,n) : n \in \mathbb{Z}\}$  is an ideal of  $\mathbb{Z} \times \mathbb{Z}$

$$\mathbb{Z} \times \mathbb{Z} / N = \{(a,b) + N : (a,b) \in \mathbb{Z} \times \mathbb{Z}\}$$

$$= \{(a,0) + N : a \in \mathbb{Z}\}$$

which is isomorphic to  $\mathbb{Z}$  (an integral domain, better!).

### Definition 6.8

A prime ideal of a ring  $R$  is a proper ideal  $P$  such that for all  $a, b \in R$ ,

if  $ab \in P$ , then either  $a \in P$  or  $b \in P$ .

A maximal ideal of a ring  $R$  is a proper ideal  $M$  such that there exists no ideal  $N$  such that  $M \subsetneq N \subsetneq R$ .

### Example 6.9

Let  $p$  be a prime. Then  $p\mathbb{Z}$  is a proper ideal of  $\mathbb{Z}$ .

Suppose  $a, b \in \mathbb{Z}$  such that  $ab \in p\mathbb{Z}$ .

We have  $p \mid ab \Rightarrow p \mid a$  or  $p \mid b \Rightarrow a \in p\mathbb{Z}$  or  $b \in p\mathbb{Z}$ .

$\therefore p\mathbb{Z}$  is a prime ideal

Let  $N$  be an ideal such that  $p\mathbb{Z} \subsetneq N \subsetneq \mathbb{R}$ .

Then there exists  $m \in N$  such that  $m \notin p\mathbb{Z}$ .

$\gcd(m,p)=1$  and so  $1=mr+ps$  for some  $r,s \in \mathbb{Z}$ .

Since  $m,p \in N$ ,  $1 \in N$  which implies  $N=\mathbb{R}$ .

Therefore, there exists no ideal  $N$  of  $\mathbb{R}$  such that  $p\mathbb{Z} \subsetneq N \subsetneq \mathbb{R}$ .

$\therefore p\mathbb{Z}$  is a maximal ideal.

### Exercise 6.2

Show that  $N = \{(0, n) : n \in \mathbb{Z}\}$  is a prime ideal, but not a maximal ideal of  $\mathbb{Z} \times \mathbb{Z}$ .

### Proposition 6.7

Let  $R$  be a commutative ring with unity and let  $N$  be a proper ideal of  $R$ .

$R/N$  is an integral domain if and only if  $N$  is a prime ideal.

$R/N$  is a field if and only if  $N$  is a maximal ideal.

**Remark:** This gives us a way to construct fields.

### Corollary 6.1

A maximal ideal of a commutative ring with unity is a prime ideal.

proof:

$N$  is a maximal ideal  $\Rightarrow R/N$  is a field

$\Rightarrow R/N$  is an integral domain

$\Rightarrow N$  is a prime ideal

### Example 6.10

If  $p$  is a prime,  $p\mathbb{Z}$  is a maximal ideal of  $\mathbb{Z}$ .

Therefore,  $\mathbb{Z}/p\mathbb{Z}$  is a field.

### Example 6.11

Think: Why  $\langle x^2+1 \rangle$  is a maximal ideal?

Then,  $\mathbb{R}[x]/\langle x^2+1 \rangle$  is a field

**Brief discussion:** Let  $F = \mathbb{R}[x]/\langle x^2+1 \rangle$ .

$\mathbb{R}$  can be regarded as a subfield of  $F$  by  $a \mapsto (a+0x) + \langle x^2+1 \rangle$

Therefore,  $f(x) = x^2+1 \in \mathbb{R}[x]$  can be regarded as an element in  $F[x]$ .

$(f(x)) = (1 + \langle x^2+1 \rangle)x^2 + (1 + \langle x^2+1 \rangle) \in F[x]$

Note that we cannot find a real number  $x_0$  such that  $f(x_0) = 0$ , but

$f(x + \langle x^2+1 \rangle) = (1 + \langle x^2+1 \rangle)(x + \langle x^2+1 \rangle)^2 + (1 + \langle x^2+1 \rangle) = (x^2+1) + \langle x^2+1 \rangle = 0 + \langle x^2+1 \rangle$ .

i.e. we extend  $\mathbb{R}$  to a field  $F$  such that  $x^2+1=0$  has a solution!

In fact,  $\mathbb{C} := \mathbb{R}[x]/\langle x^2+1 \rangle$  and  $a_0 + a_1 i$  means  $(a_0 + a_1 x) + \langle x^2+1 \rangle$